



Poincaré Plots: A Mini-Review

Albert C.-C. Yang, MD

ReyLab, Beth Israel Deaconess Medical Center
Harvard Medical School
Dept. Psychiatry, Taipei Veterans General Hospital, Taiwan



Henri Poincaré (1854-1912)





Poincaré plot

- Poincaré HRV plot is a graph in which each RR interval is plotted against next RR interval (a type of delay map)
- Synonyms
 - Scatter plot; scattergram
 - Return map; phase delay map
 - Lorenz plot



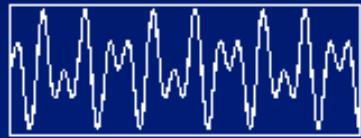
Poincaré & related plots as nonlinear tools

Visualization of higher-dimensional phase spaces
in two or three-dimensional sub-spaces

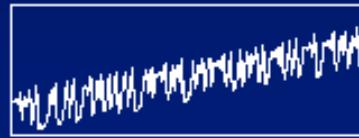
uncorrelated noise



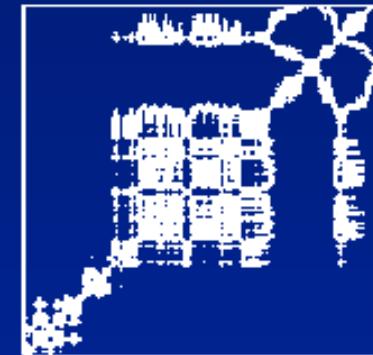
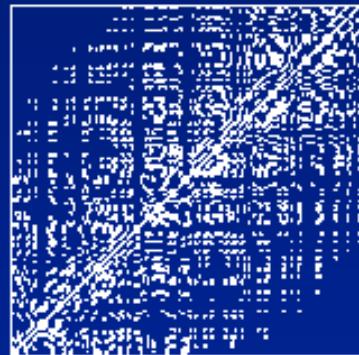
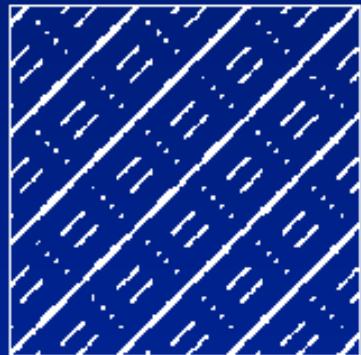
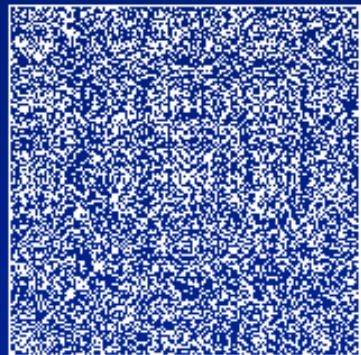
harmonic oscillation



chaotic time series
with linear trend



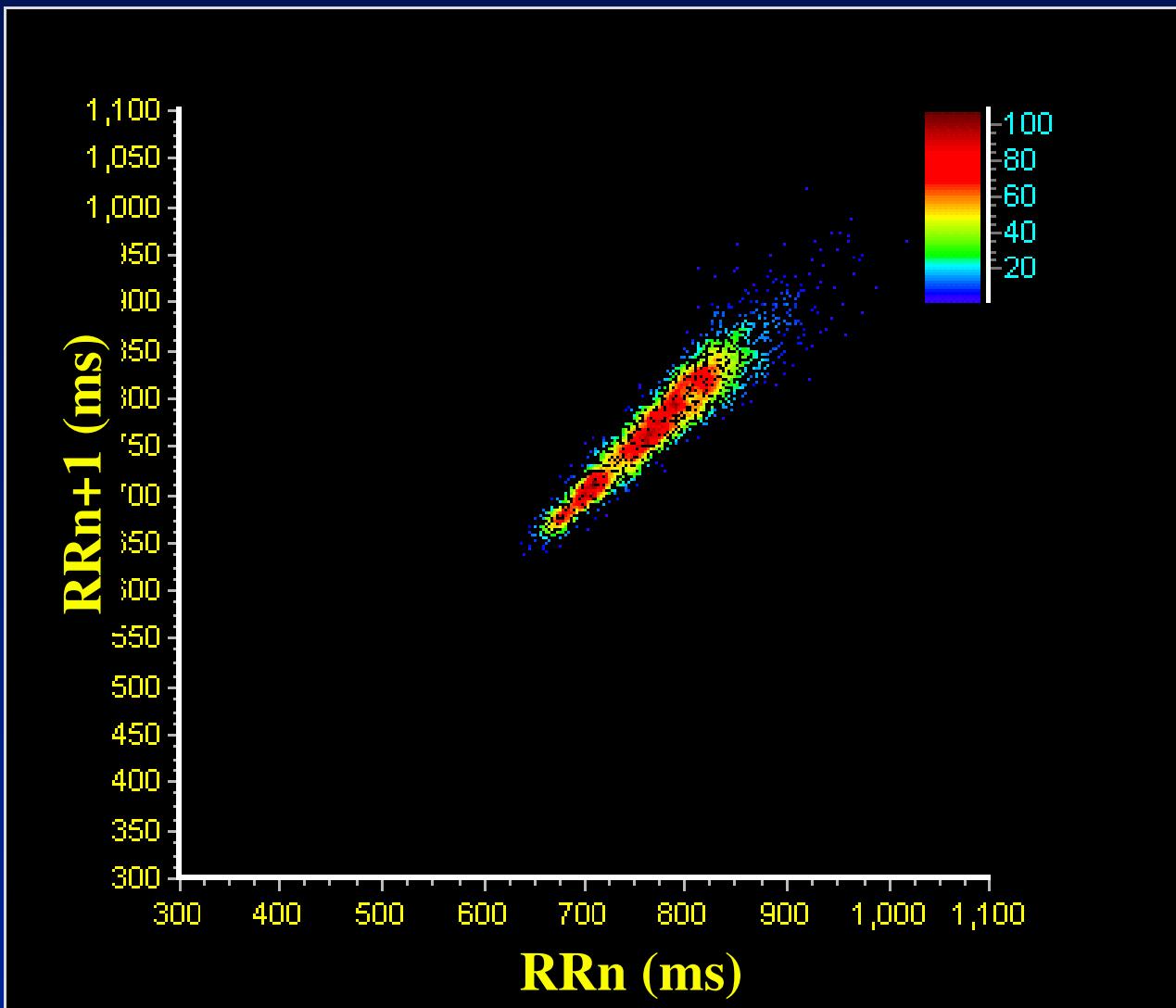
time series generated
from an AR model



Source: http://en.wikipedia.org/wiki/Recurrence_plot

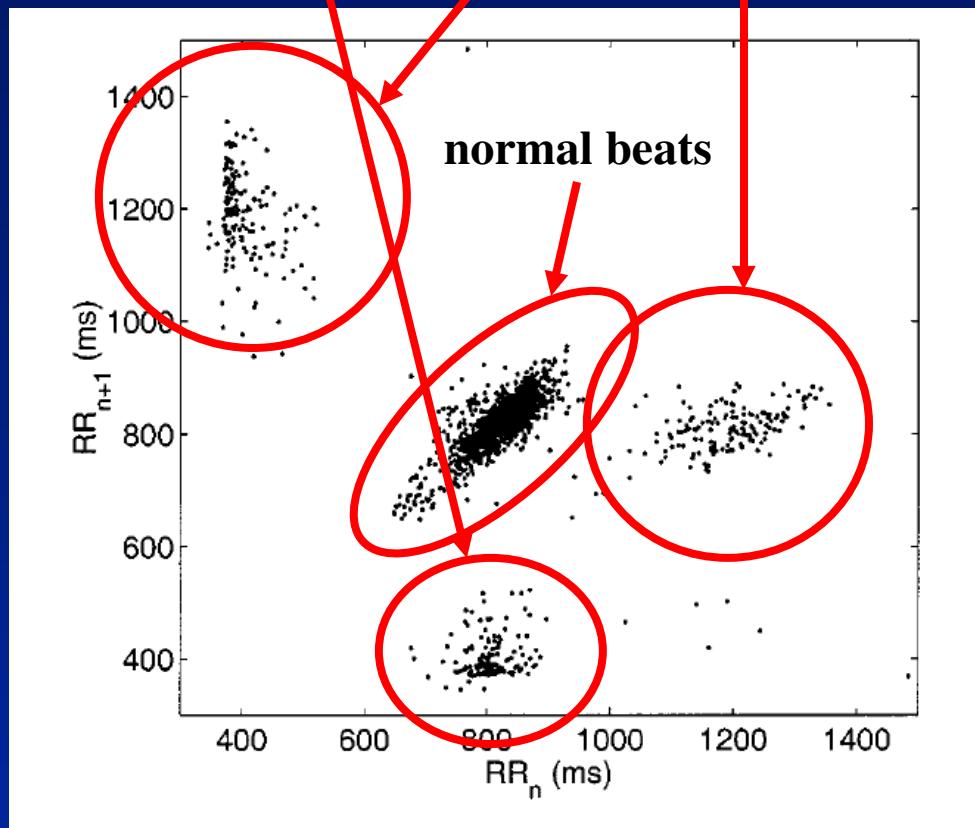
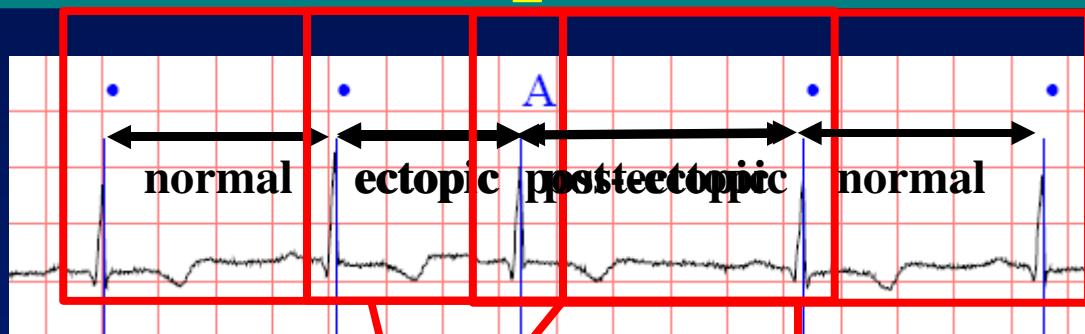


Poincaré HRV plot: normal





Visualization of ectopic beats

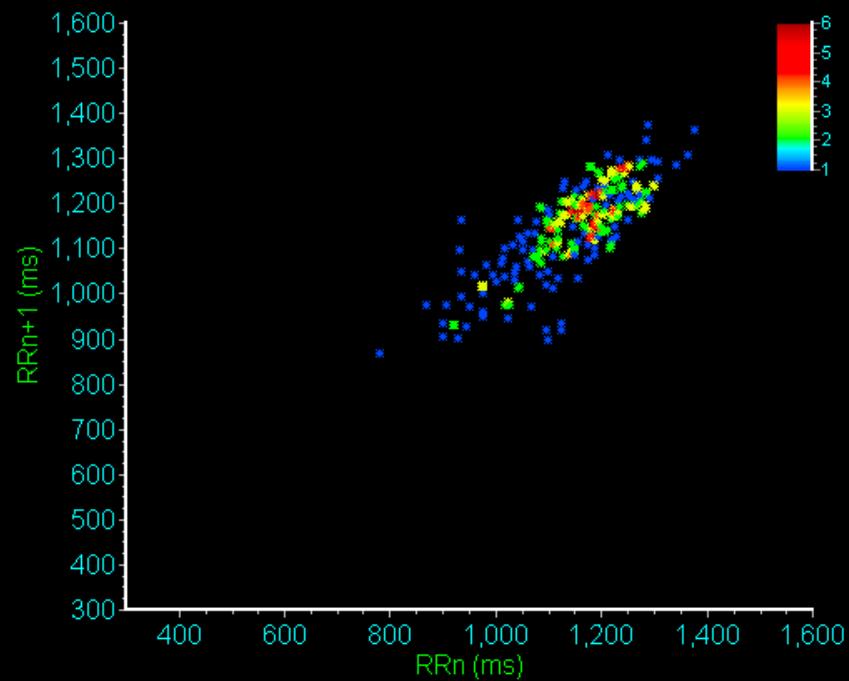




Poincaré HRV plot: healthy vs. disease

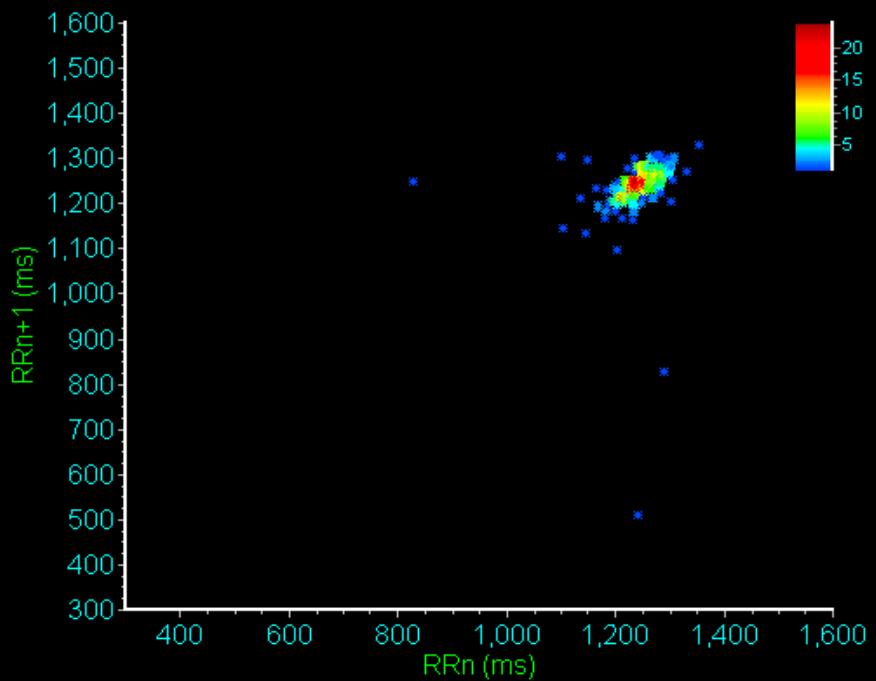
Healthy Control

mean heart rate : 52 bpm



Critically ill Patient

mean heart rate : 51 bpm

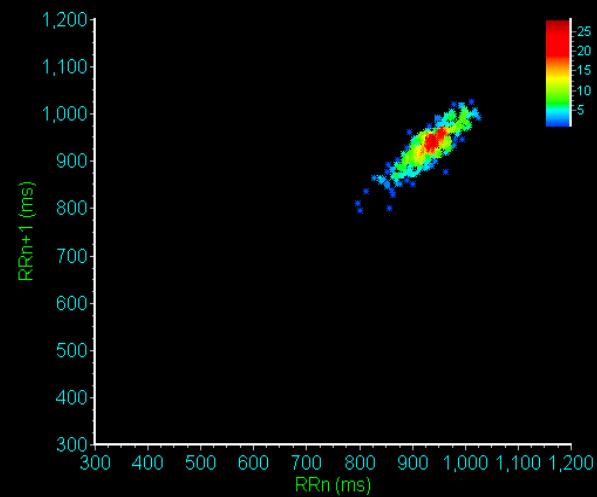


Data Source: Taipei Veterans General Hospital, Taiwan

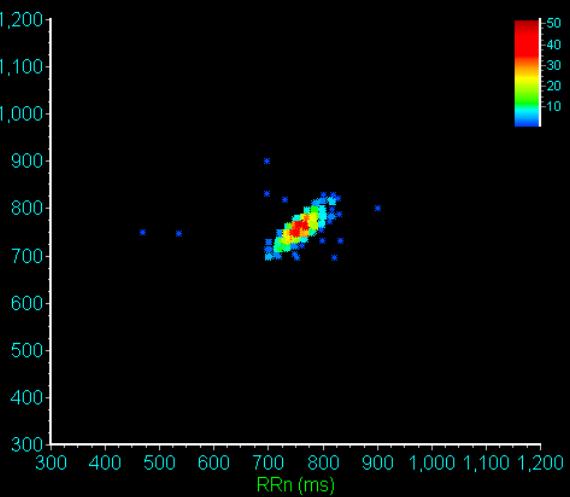


HRV in different stages of cancer

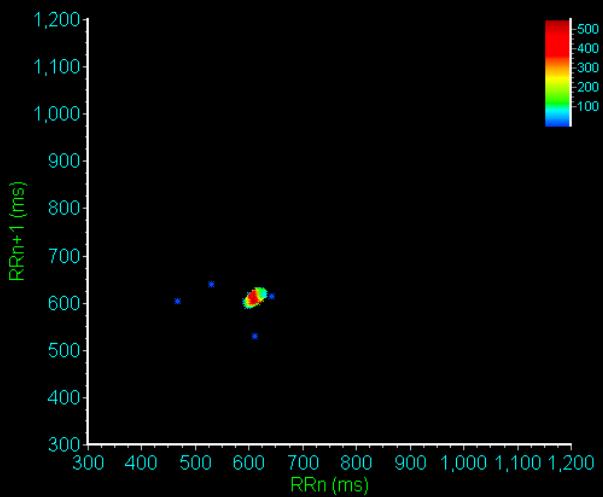
Early-detected
cancer patient



Chemotherapy
cancer patient



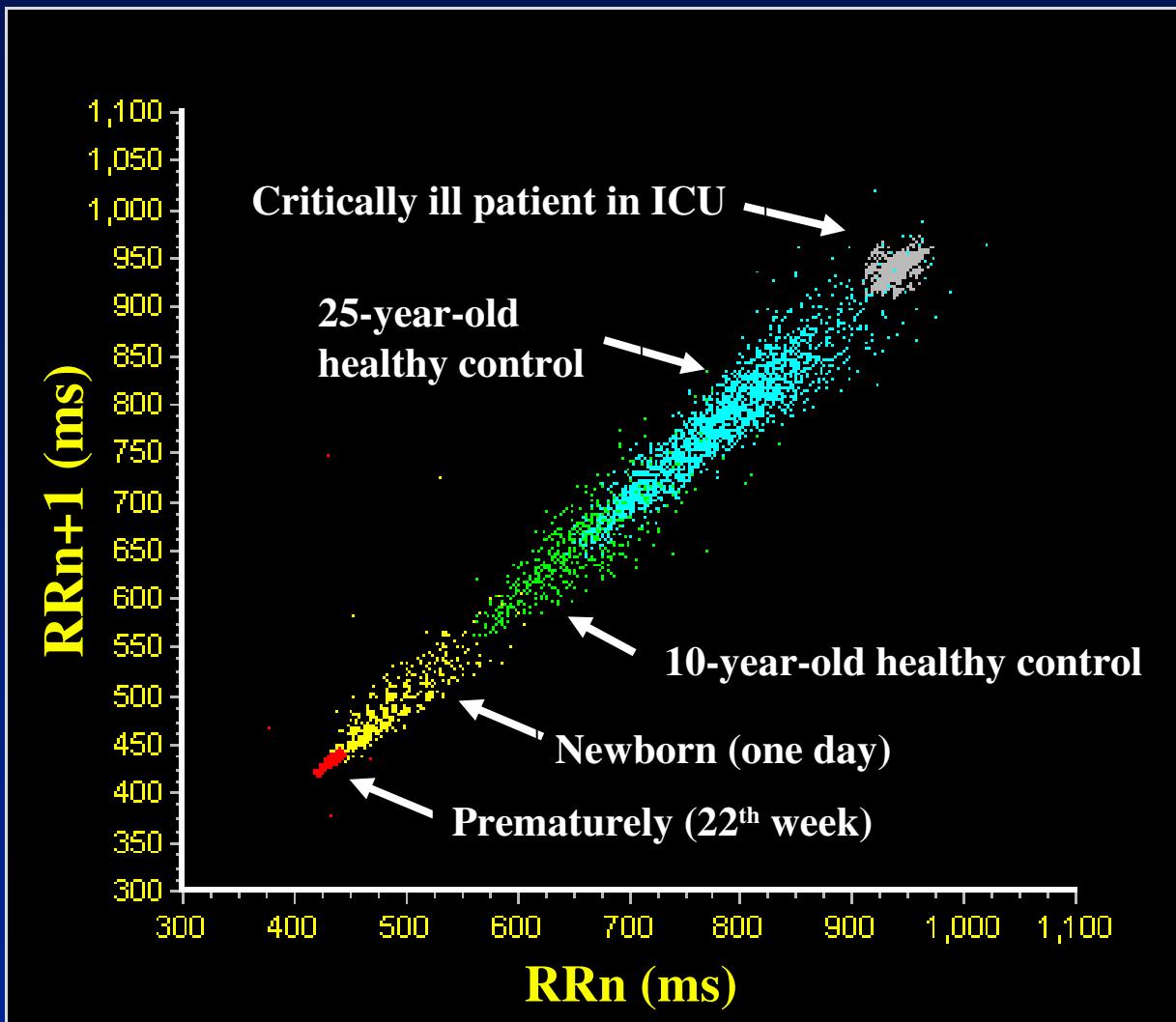
Hospice
cancer patient



Data Source: Taipei Veterans General Hospital, Taiwan



How aging and illness may affect the geometry of Poincaré HRV plot

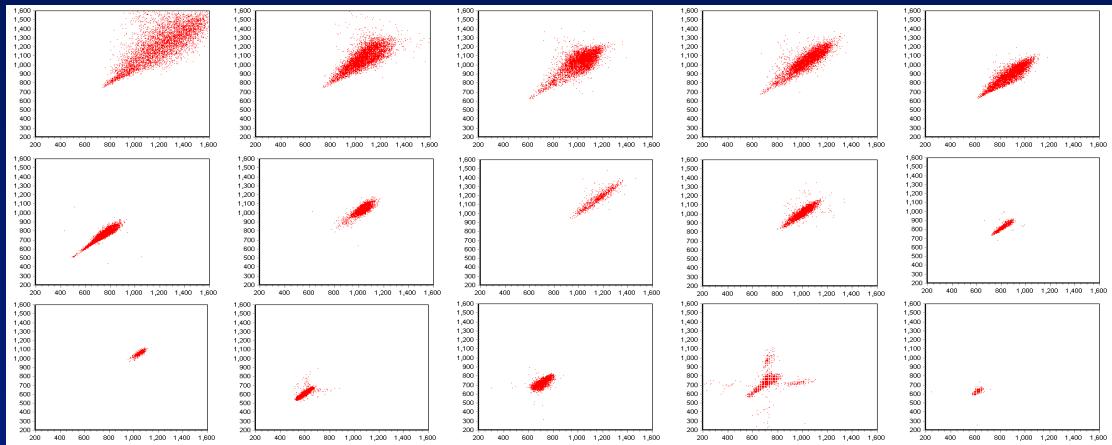


Data Source: Taipei Veterans General Hospital, Taiwan



Quantitative analysis of Poincaré HRV plots

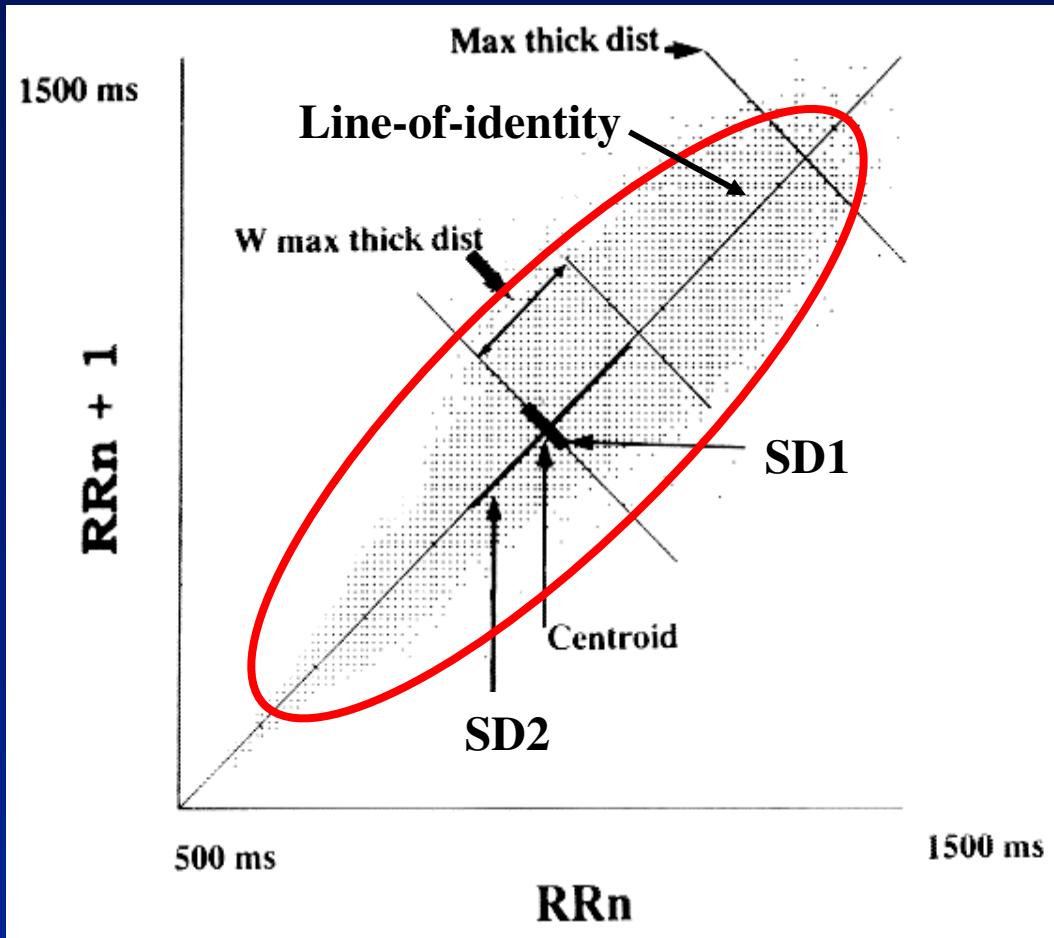
Variable geometries of Poincaré HRV plots



- Ellipse fitting technique
- Histogram technique
- Correlation coefficient



Ellipse fitting technique



SD1: dispersion (standard deviation) of points perpendicular to the axis of line-of-identity

SD2: dispersion (standard deviation) of points along the axis of line-of-identity



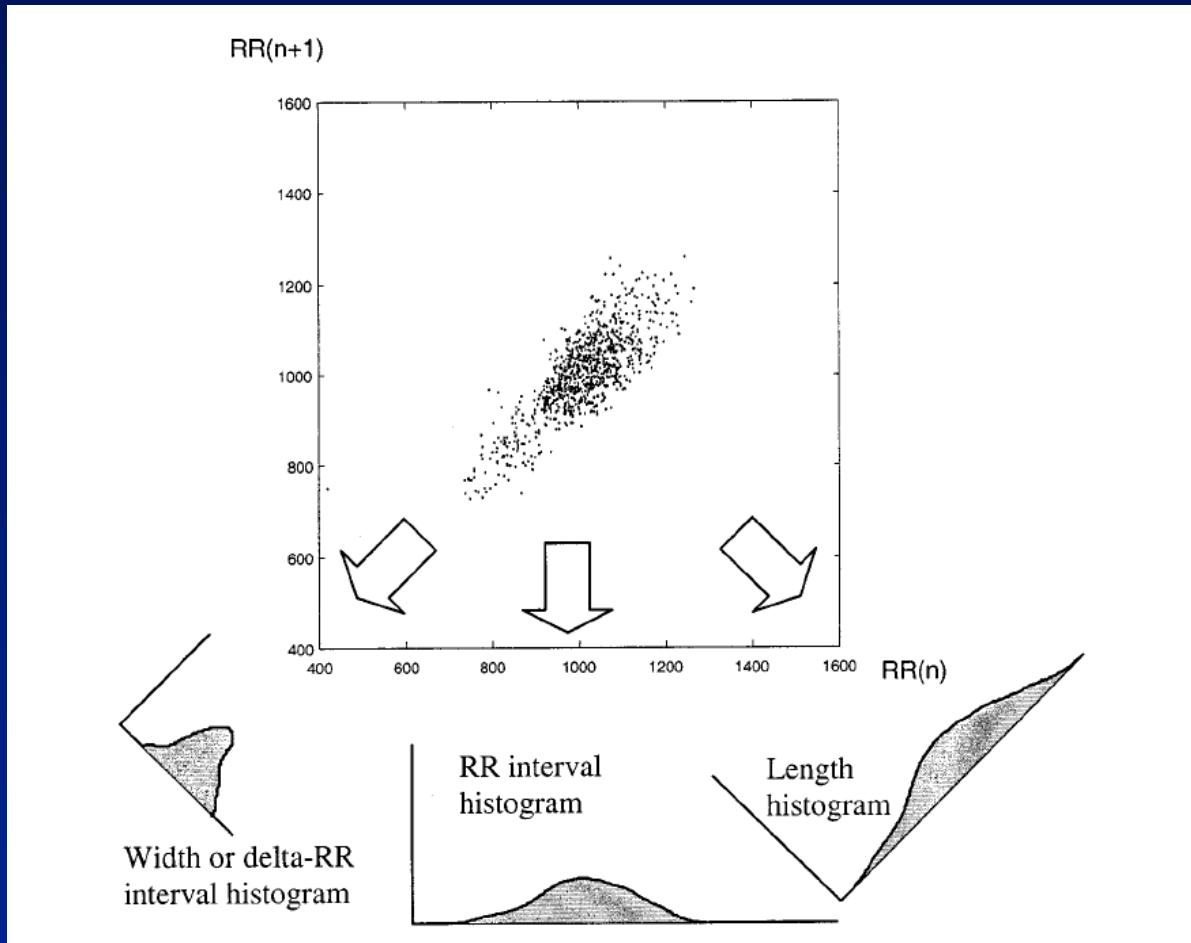
Ellipse fitting technique – Risk Stratification in Cardiovascular Disease

Table 1. Studies of Non-Linear HRV in Cardiac Patients

| Reference | Population | Results/Conclusions |
|---------------------------|---|---|
| Brouwer et al., 1996 [19] | 95 pts with HF, HRV and Poincaré plots from 24 hr holter recordings (Ibopamine Multicenter Trial study group) | Shape of Poincaré plots independent prognostic value in pts with mild to moderate HF |
| Bigger et al., 1996 [2] | (1) 715 pts with recent MI (2) 274 healthy pts (3) 19 pts with heart transplant (Multicenter Post Infarction Program) | MI or denervation of the heart causes a steeper slope and decreased height of power law slope |
| Huikuri et al., 1999 [10] | 446 with MI with decreased LV function (EF<35%) F/U 685±360 days | Alpha 1 is the most powerful predictor of all cause mortality |
| Huikuri et al., 1998 [7] | Random sample of 347 patients of >65 yrs F/U for 10 yrs | Power law slope is a more powerful predictor of death than the traditional risk markers in elderly subjects |
| Kamen et al, 1995 [21] | Poincaré plot pattern to display beat to beat HRV data from 23 pts with HF and compared with 20 healthy people | Poincaré plot is a semi-quantitative tool which can be applied to the analysis of R-R interval |
| Laitio et al., 2002 [22] | HRV and Poincaré plots of 40 pts with CABG | SD1/SD2 ratio is the most powerful independent predictor of postoperative ischaemia |
| Laitio et al., 2004 [13] | 32 pts aged ≥60 yrs admitted to hospital for surgical repair of traumatic hip fracture | Alpha-1 predicts post operative myocardial infarction |
| Lombardi et al. 1996 [8] | HRV in 2 groups of pts after MI (normal and reduced LVEF). Group 1: 20 pts; Group 2: 15 pts | Steeper slope of the negative regression line between power and frequency among reduced LVEF |
| Mäkkilä et al., 1998 [23] | 38 pts with stable angina without previous MI or cardiac medication and 38 age matched healthy pts | Alpha-1 helps differentiate CAD and healthy pts |



Histogram technique



Brennan M. et al. IEEE Trans Biomed Eng 2001;48:1342-47



Do these indices actually measure nonlinear properties of heart rate dynamics

Table 3. Correlation coefficients among average HR, time and frequency domain measures, and quantitative beat-to-beat analysis of HR variability at ventilatory threshold

| | HR | SDANN | SDsd | SDsd/SDANN | HF | LF | LF/HF | SD1/SD2 | SD2 | SD1 | ApEn |
|------------|-----|-------|-------|------------|-------|-------|--------|---------|--------|-------|--------|
| HR | 1.0 | 0.63‡ | 0.59‡ | 0.16 | 0.47† | 0.58‡ | 0.51† | 0.18 | 0.61‡ | 0.58‡ | 0.54† |
| SDANN | | 1.0 | 0.54† | -0.51† | 0.29 | 0.73‡ | 0.78‡ | 0.46† | 0.99‡ | 0.52† | -0.45* |
| SDsd | | | 1.0 | 0.33 | 0.70† | 0.63‡ | 0.48† | 0.27 | 0.49† | 0.99‡ | -0.47† |
| SDsd/SDANN | | | | 1.0 | 0.20 | -0.18 | -0.42* | 0.94‡ | -0.56† | 0.36* | 0.23 |
| HF | | | | | 1.0 | 0.21 | 0.07 | 0.15 | 0.24 | 0.70‡ | 0.27 |
| LF | | | | | | 1.0 | 0.90‡ | -0.18 | 0.73‡ | 0.62‡ | -0.54† |
| LF/HF | | | | | | | 1.0 | -0.21 | 0.79‡ | 0.49† | -0.33 |
| SD1/SD2 | | | | | | | | 1.0 | -0.52† | 0.31 | 0.27 |
| SD2 | | | | | | | | | 1.0 | 0.47† | -0.45* |
| SD1 | | | | | | | | | | 1.0 | -0.48† |
| ApEn | | | | | | | | | | | 1.0 |

Values are Pearson's correlation coefficients; $n = 31$. See Table 2 for definition of abbreviations. * $P < 0.05$; † $P < 0.01$; ‡ $P < 0.001$.



Do these indices actually measure nonlinear properties of heart rate dynamics

- Ellipse fitting technique

$$\begin{aligned} SD1^2 &= \text{Var}(x_1) = \text{Var}\left(\frac{1}{\sqrt{2}} \text{RR}_n - \frac{1}{\sqrt{2}} \text{RR}_{n+1}\right) \\ &= \frac{1}{2} \text{Var}(\text{RR}_n - \text{RR}_{n+1}) = \frac{1}{2} \text{SDSD}^2. \end{aligned}$$

$$SD2^2 = 2SDRR^2 - \frac{1}{2} \text{SDSD}^2.$$

SDRR : standard deviation of the RR intervals

SDSD: standard deviation of the successive differences of the RR intervals



Summary

- Advantages
 - Simple visualization tool
 - Outlier (ectopic beat or artifact) identifier
 - Possible insights into short-term and long-term variability
- Limitations
 - Derived statistics not independent of other time domain measures